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WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 6025

SERIAL NUMBER 09/894,431	FILING DATE 06/27/2001 RULE	CLASS 345	GROUP ART UNIT 2614	ATTORNEY DOCKET NO. 52126.00017 (Digeo 109.2)
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APPLICANTS

Loc Nguyen, Issaquah, WA;
Paul G. Allen, Mercer Island, WA;

**** CONTINUING DATA *******

THIS APPLN CLAIMS BENEFIT OF 60/284,767 04/18/2001
AND A CIP OF 09/703,272 10/31/2000
WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000
AND A CIP OF 09/703,261 10/31/2000
WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 07/24/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance	WA	5	32	7
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

Arnold M. de Guzman
Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto , CA 94304-1043

TITLE

On-remote-control email and other service indicator methods, systems, and devices

FILING FEE RECEIVED 1376	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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2614

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Version w/ Markings to Show Changes Made
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Preliminary Amendment (Amendment A)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP		
Signature			
Date	December 28, 2001		

CERTIFICATE OF MAILING

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Typed or printed name	Arnold M. deGuzman		
Signature		Date	Dec. 28, 2001

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Application Number 09/894,431

Filing Date June 27, 2001

First Named Inventor Loc Nguyen

Group Art Unit 2614

Examiner Name not yet known

Total Number of Pages in This Submission

9

Attorney Docket Number

10003.001410 (digeo 109.2)

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| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input checked="" type="checkbox"/> Request for Corrected Filing Receipt |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)
(please identify below):

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| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | | |
| <input type="checkbox"/> Response to Missing
Parts under 37 CFR
1.52 or 1.53 | | |
- Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Arnold M. deGuzman, Reg. No. 39,955 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	December 28, 2001

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Typed or printed name Arnold M. deGuzman

Signature Date Dec. 28, 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICECOPY OF PAPERS
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In Re Application of: Loc Nguyen & Paul G. Allen	Examiner: not yet known
Serial No.: 09/894,431	Art Unit: 2614
Filed: June 27, 2001	Atty. Docket No.: 10003.001410 (digeo 109.2)
Title: ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR METHODS, SYSTEMS, AND DEVICES	

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REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Official Filing Receipt received from the U.S.P.T.O. for the above application.

The Filing Receipt contains the following error(s) :

1. The "Domestic Priority data as claimed by applicant" is erroneously stated as "**THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 AND A CIP OF 09/703,261 10/31/2000 WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001 AND CLAIMS BENEFIT OF 60/238,581 10/06/2000**". The Filing Receipt should be corrected to indicate the "Domestic Priority data as claimed by applicant" as "**THIS APPLICATION CLAIMS BENEFIT OF 60/284,767 04/18/2001. THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000 AND IS A CIP OF 09/703,261 10/31/2000 WHICH CLAIMS BENEFIT OF 60/238,581 10/06/2000**". The above

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data is evidenced by page one (1) of the filed specification (a copy of which is enclosed and marked as EXHIBIT A) and the filed utility patent application transmittal (a copy of which is enclosed and marked as EXHIBIT B).

Please issue a corrected Filing Receipt rectifying the above error(s). The requested corrections are indicated in red ink in the enclosed copy of the Filing Receipt.

Favorable action is respectfully solicited.

Dated: December 28, 2001 Respectfully submitted,
Loc Nguyen & Paul G. Allen

By: A. M. de Guzman
Arnold M. de Guzman
Attorney For Applicant(s)
Reg. No. 39,955
DEGUZMAN OKAMOTO & BENEDICTO LLP
P.O. Box 51900
Palo Alto, California 94303
(650) 691-2030
(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING		
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Signature:	<u>A. M. de Guzman</u>	
Typed or Printed Name:	Arnold M. de Guzman	Dated: <u>Dec. 28, 2001</u>
Express Mail Mailing Number (optional):		



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,431	06/27/2001	2614	1246	52126.00017 (Digeo 109.2)	5	32	7

CONFIRMATION NO. 6025

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OC000000006343139*

Arnold M. de Guzman
Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043

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Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Loc Nguyen, Issaquah, WA;
Paul G. Allen, Mercer Island, WA;

Domestic Priority data as claimed by applicant

— THIS APPLICATION IS A CIP OF 09/703,272 10/31/2000 —
— AND A CIP OF 09/703,261 10/31/2000 —
— WHICH CLAIMS BENEFIT OF 60/284,767 04/18/2001 —
— AND CLAIMS BENEFIT OF 60/238,581 10/06/2000 —

THIS APPLICATION CLAIMS BENEFIT
OF 60/284,767 04/18/2001.
THIS APPLICATION IS A CIP
OF 09/703,272 10/31/2000
WHICH CLAIMS BENEFIT OF
60/238,581 10/06/2000 AND
IS A CIP OF 09/703,261 10/31/2000
WHICH CLAIMS BENEFIT OF
60/238,581 10/06/2000.

Foreign Applications

If Required, Foreign Filing License Granted 07/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

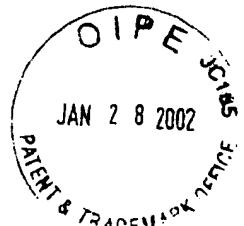
Early Publication Request: No

Title

On-remote-control email and other service indicator methods, systems, and devices

Preliminary Class

345



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Team : OIPE

Date: 07/26/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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EXHIBIT A

Docket No. 52126.00017
Digeo 109.2

ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR

METHODS, SYSTEMS, AND DEVICES

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Inventors: Loc Nguyen and Paul G. Allen **RECEIVED**

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Cross-Reference To Related Applications

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The present application claims priority to U.S. Provisional Application No. 60/284,767, by common inventors, Loc Nguyen and Paul G. Allen, filed April 18, 2001, and 10 entitled "ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR LED AND SOUND". Application No. 60/284,767 is fully incorporated herein by reference.

The present application is a continuation-in-part of and claims the benefit of U.S. Patent Application Serial 15 No. 09/703,272, entitled "USER INTERFACE FOR PERSONALIZED ACCESS TO INTERNET-ENABLED TELEVISION," by Paul G. Allen, Anthony F. Istvan, and Armando P. Stettner, filed October 31, 2000, which claims the benefit of U.S. Provisional Patent Application Serial No. 60/238,581, entitled " USER 20 INTERFACE, SYSTEM, AND METHOD FOR PERSONALIZED ACCESS TO INTERNET-ENABLED TV," by Paul G. Allen, Anthony F. Istvan, and Armando P. Stettner, filed October 6, 2000.

The present application is a continuation-in-part of and claims the benefit of U.S. Patent Application Serial

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Docket No. 52126.00017
Digeo 109.2

NO. 09/703,261, entitled "SYSTEMS AND METHODS FOR

PERSONALIZED ACCESS TO INTERNET-ENABLED TELEVISION," by

Paul G. Allen, Anthony F. Istvan, Armando P. Stettner,

filed October 31, 2000, which claims the benefit of U.S.

5 Provisional Patent Application Serial No. 60/238,581,

entitled "USER INTERFACE, SYSTEM, AND METHOD FOR

PERSONALIZED ACCESS TO INTERNET-ENABLED TV," by Paul G.

Allen, Anthony F. Istvan, and Armando P. Stettner, filed

October 6, 2000.

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10 Application Nos. 09/703,272, 09/703,261, and

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60/238,581 are each fully incorporated herein by reference.

Technical Field

This disclosure relates generally to interactive
15 television (iTV) systems, and more particularly but not
exclusively, to systems, devices, and methods for providing
notification of electronic mail (email) messages, instant
messages, and/or other services/information to a user of an
interactive television system.

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Background

An interactive television (iTV) differs from a
conventional television in that an interactive television
includes additional information and communication

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

		Attorney Docket No.	52126.00017 (Digeo 109.2)
		First Inventor	Loc Nguyen
Title	ON-REMOTE-CONTROL EMAIL AND OTHER SERVICE INDICATOR METHODS, SYSTEMS, AND DEVICES		
		Express Mail Label No.	EL701364195US

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 38]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
- Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. unsigned
- Application Data Sheet. See 37 CFR 1.76

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7. CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of Attorney (unsigned)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Assignment (unsigned); General Authorization/Req.Pet.f/Ext. of Time

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

(1) of prior application No.: 09/703,272

(2) of prior application No: 09/703,261

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below		
Name	Arnold M. de Guzman Squire, Sanders & Dempsey L.L.P.					
Address	600 Hansen Way					
City	Palo Alto	State	CA	Zip Code	94304-1043	
Country	USA	Telephone	650.856.6500		Fax	650.843.8777

Name (Print/Type)	Arnold M. de Guzman	Registration No. (Attorney/Agent)	39,955	
Signature			Date	June 27, 2001

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